AUG 2 AUG STRADEN

rm 1449 (Modified)

Atty Docket No. NOVLP089/ NVLS-2887

_

Application No.: 10/800,377

Information Disclosure Statement By Applicant Applicant: Cho et al.

(Use Several Sheets if Necessary)

Filing Date March 11, 2004 Group 2812

U.S. Patent Documents

			C.D. x accin	Documents		 	
Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
70	A1	6,500,770 B1	12.2002	Cheng et al.		<u> </u>	
21	A2	2002/0192980 A1	12.2002	Hogle et al.			
					·		
	<u> </u>						
	† — —						

Foreign Patent or Published Foreign Patent Application

Examiner	1	Document	Publication	Country or		Sub-	Trans	slation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
		•					<u> </u>	
	1							
	1							

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
шиа	C1	U.S. Office Action mailed July 13, 2005, from U.S. Application No. 10/672,311 [Atty
W		Dkt No. NOVLP075/NVLS-000820].
סך	C2	U.S. Office Action mailed July 27, 2005, from U.S Application No. 10/785,235 [Atty Dkt No. NOVLP085/NVLS-2875].
Examiner	К	Date Considered 3/0/66

Torm 1449 (Modified)

Atty Docket No. **NOVLP089/ NVLS-2887** Application No.:

Information Disclosure Statement By Applicant Applicant: Cho et al.

10/800,377

Filing Date (Use Several Sheets if Necessary) March 11, 2004

Group 2822

U.S. Patent Documents

Examiner	Ť		I		Γ	Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
71	Al	6,797,643 B2	09.2004	Rocha-Alvarez et al.			
-M	A2	6,815,373 B2	11.2004	Singh et al.			
	A3	6,914,014 B2	07.2005	Li et al.			
	A4	2004/0101633 A1	05.2004	Zheng et al.			
	A5	6,258,735 B1	07.2001	Xia et al.			
	A6	6,610,362 B1	08.2003	Towle, Steven N.			
	A7	6,632,478 B2	10.2003	Gaillard et al.		<u> </u>	
	A8	2004/0096593 A1	05.2004	Lukas et al.			
	A9	2004/0161532 A1	08.2004	Kloster et al.			
	A10	2004/0170760 A1	09.2004	Meagley et al.			
	A11	2005/0064698 A1	03.2005	Chang et al.			
	A12	6,715,498 B1	04.2004	Humayun et al.			
¥	A13	5,849,640	12.1998	Hsia et al.			

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
			•					
						·		

Other Documents

		•
No.	Author, Title, Date, Place (e.g. Journal) of Publication	
Cl	U.S. Office Action mailed December 27, 2005, from U.S Applicat	ion No. 10/789,103
l	[Atty Dkt No. NOVLP094/NVLS-002919].	
C2	U.S. Office Action mailed December 23, 2005, from U.S Applicat	ion No. 10/800,409
l .	[Atty Dkt No. NOVLP098/NVLS-002907].	
C3	U.S. Office Action mailed February 7, 2006, from U.S Application	n No. 10/672,305
	[Atty Dkt No. NOVLP069/NVLS-000821].	
C4	U.S. Office Action mailed December 20, 2005, from U.S Applicat	ion No. 10/672,311
	[Atty Dkt No. NOVLP075/NVLS-000820].	
C5	U.S. Office Action mailed December 20, 2005, from U.S Applicat	ion No. 10/849,568
	[Atty Dkt No. NOVLP083/NVLS-2867].	
C6	U.S. Office Action mailed January 9, 2006, from U.S Application	No. 10/785,235
	[Atty Dkt No. NOVLP085/NVLS-2875].	•
	Date Considered	
K	VWasat 3/8/06	·
	C1 C2 C3 C4 C5	 [Atty Dkt No. NOVLP094/NVLS-002919]. C2 U.S. Office Action mailed December 23, 2005, from U.S Applicat [Atty Dkt No. NOVLP098/NVLS-002907]. C3 U.S. Office Action mailed February 7, 2006, from U.S Application [Atty Dkt No. NOVLP069/NVLS-000821]. C4 U.S. Office Action mailed December 20, 2005, from U.S Applicat [Atty Dkt No. NOVLP075/NVLS-000820]. C5 U.S. Office Action mailed December 20, 2005, from U.S Applicat [Atty Dkt No. NOVLP083/NVLS-2867]. C6 U.S. Office Action mailed January 9, 2006, from U.S Application [Atty Dkt No. NOVLP085/NVLS-2875].



For 1449 (Modified)

Information Disclosure Statement By Applicant

(Use Several Sheets if Necessary)

Atty Docket No.

NOVLP089/ NVLS-2887

Application No.: 10/800,377

Applicant: Cho et al.

Filing Date March 11, 2004 Group 2812

U.S. Patent Documents

Examiner Initial	No	Patent No.	Date	Patentee	Class	Sub- class	Filing Date
Hittidi	110.	· ·		,		1	
	+ -					 	
						<u> </u>	
						1.	
						<u> </u>	

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication			Sub-	Translation	
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
						J		
	7		_			}		

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
W	C1	Cho et al., "Method for Porogen Removal and Mechanical Strength Enhancement of Low-K Carbon Doped Silicon Oxide Using Low Thermal Budget Microwave Curing", U.S. Application No. 11/280,113, filed November 15, 2005 (Atty Dkt: NOVLP145/NVLS-3106)
	-	
	 -	<u> </u>
Examiner	RM	Date Considered 3/8/01



Form 1449 (Modified)

Information Disclosurê **Statement By Applicant**

(Use Several Sheets if Necessary)

Atty Docket No.

NOVLP089/ NVLS-2887

Applicant:

Cho et al. Filing Date

March 11, 2004

Application No.:

10/800,377

Group 2812

			J.S. Patent I	Documents		 	
Examiner	_		<u>*</u>		l	Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
-716	Al	6,387,453	05.14.02	Brinker et al.	ļ		
	A2	5,789,027	08.04.98	Watkins et al.	<u> </u>		
	A3	6,391,932 B1	05.21.02	Gore et al.		<u> </u>	
	A4	5,700,844	12.23.97	Hedrick et al.			
	A5	2003/0157248 A1	08.21.03	Watkins et al.			
	A6	2002/0123240 A1	09.05.02	Gallagher et al.		<u> </u>	
	A7	6,340,628	01.22.02	Van Cleemput, et al.			
,	A8	6,383,955	05.07.02	Matsuki, et al.			
	A9	6,596,654	07.22.03	Bayman, et al.			
	A10	2004/0099952	05.27.04	Goodner et al.			
	A11	2004/0102031	05.27.04	Kloster et al.			
	A12	2004/0185679	09.23.04	Ott et al.			
	A13	6,848,458	02.01.05	Shrinivasan et al:			
	A14	6,805,801	10.19.04	Humayun et al.			
	A15	6,391,932	05.21.02	Gore et al.			
·		4,882,008	11.21.89	Garza et al.			
		6,329,062	12.11.01	Gaynor			
		6,268,276	07.31.01	Chan et al.			
		6,177,329	01.23.01	Pang			
	A20	5,920,790	07.1999	Wetzel et al.			
	A21	2003/0119307	06.2003	Bekiaris et al.			
	A22	6,596,467	07.22.03	Gallagher et al.			
	A23		12.23.03	Gallagher et al.			
		6,312,793	11.06.01	Grill et al.			
	A25	6,576,345	06.10.03	Cleemput et al.			
	A26	6,677,251	01.2004	Lu et al.			
	A27		11.2004	Bao et al.			
	A28		12.2004	Demos et al.			
	A29	2002/0106500	08.2002	Albano et al.			
	A30	2003/0064607	04.2003	Leu et al.		1	
	A31	2004/0069410	04.2004	Moghadam et al.			
V	A32	6,756,085	06.29.04	Waldfried et al.			
						 	
Examiner	nP	Picknest	<u> </u>	Date Considered	_ 	1	· I

	Form 1449 (Modified)	Atty Docket No.	Application No.:
ı		NOVLP089/ NVLS-2887	10/800,377
ı	Information Disclosure	Applicant:	
ı	Statement By Applicant	Cho et al.	
١		Filing Date	Group
ı	(Use Several Sheets if Necessary)	March 11, 2004	2812

Foreign Patent or Published Foreign Patent Application

Examiner	T	Document	Publication	Country or		Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
W	Bl	WO95/07543	03.16.95	WIPO			X	
						<u> </u>		<u> </u>
						· .		
_		I						l

Other Documents

		Other Doc	cuments				
Examiner							
Initial ·	No.						
	C1		tion, 300mm Wafer Low k ILD/Cu Interconnect				
W			onnect Technology Conference.				
	C2	Wu et al., U.S. Application No	. 10/789,103 (Atty Docket No.: NOVLP094), entitled:				
1		Methods For Producing Low-K	CDO Films With Low Residual Stress				
	C3	Wu et al., U.S. Application No	. 10/820,525 (Atty Docket No.: NOVLP091), entitled:				
1		Methods For Producing Low-K	CDO Films With Low Residual Stress				
	C4	Wu et al., U.S. Application No	. 10/800,409 (Atty Docket No.: NOVLP098), entitled:				
- 1		Methods For Producing Low-K	CDO Films				
	C5	U.S. Patent Application No. 10	/016,017, File Date: December 12, 2001 (Atty Dkt:				
1		NOVLP030)					
	C6	U.S. Patent Application No. 10	/125,614, File Date: April 18, 2002 (Atty Dkt:				
1	NOVLP028)						
	C7	U.S. Patent Application No. 10/202,987, File Date: July 23, 2002 (Atty Dkt:					
	ł	NOVLP028X1)					
1	C8	Tipton et al., "Method for Rem	ioval of Porogens From Porous Low-K Films Using				
1		Supercritical Fluids", Novellus	Systems, Inc., Application No. 10/672,305, filed				
1		9/26/03, pages 1-32. Atty. Doc	cket No. NOVLP069/NVLS-000821				
	C9	Humayun et al., "Method For I	Forming Porous Films By Porogen Removal Combined				
		With In Situ Modification", U.	S. Patent No. 10/404,693, filed March 31, 2003, Office				
		Action dated March 15, 2005 (Atty Dkt: NOVLP064)				
	C10		gen Removal From Porous Low-K Films Using UV				
l l	Radiation", U.S. Application No. 10/672,311, filed September 26, 2003, Office						
			Action dated September 7, 2004 (Atty Dkt: NOVLP075/NVLS-000820)				
	C11		gen Removal From Porous Low-K Films Using UV				
ZP	1		Radiation", U.S. Application No. 10/672,311, filed September 26, 2003, Office				
		Action dated December 28, 20	04 (Atty Dkt: NOVLP075/NVLS-000820)				
Examiner			Date Considered				
		Wignest	3/4/06				

Form 1449 (Modified)	Atty Docket No. NOVLP089/ NVLS-2887	Application No.: 10/800,377
Information Disclosure Statement By Applicant	Applicant: Cho et al.	,
Julian Line Line Line Line Line Line Line Lin	Filing Date	Group
(Use Several Sheets if Necessary)	March 11, 2004	2812

		Other Doc				
	C12	Tipton et al., "Method For Ren	noval Of Porogens From Porous Low-K Films Using			
2f	į.		ent No. 10/672,305, Office Action dated March 22,			
	1010	2005 (Atty Dkt: NOVLP069). R.D. Miller et al., "Phase-Separated Inorganic-Organic Hybrids for Microelectronic				
/	C13	Applications," MRS Bulletin, (
	-					
\	C14	Jin et al., "Nanoporous Silica as an Ultralow-k Dielectric," MRS Bulletin, Octo 1997, Pages 39-42				
	C15		ally Ordered Anodic Porous Alumina with 63 nm Hole			
	CIS		d," J. Vac. Sci. Technol. B 19(2), Mar/Apr 2001,			
	C16		brication of Ideally Ordered Anodic Porous Alumina			
			of the Electrochemica Society, 148 (4) B152-B156			
	C17		c Technique for the Production of Large Area High			
		580-582	"J. Vac. Sci. Technol. B 17(2), Mar/Apr. 1999, Pages			
	C18	Masuda et al. "Highly Ordered	Nanochannel-Array Architecture in Anodic			
1		Alumina," App. Phys. Lett. 71((19), November 1997, Pages 2770-2772			
	C19	Clube et al., "White Paper from	n Holotronic Technologies SA; downloaded from			
- 1]	www.hdotronic.com/whitepaper/fine-patt.pdf on March 12, 2002				
	C20		lasks for the Transfer of Nanometer-Scale Patterns into			
		Surfaces: Characterization by AFM and LFM", Nano Letters, Vol. 2, No. 2, 2002, 131-135				
	C21		Dielectric Breakthrough," Press Release March 17,			
	C22		K. Gallagher, Semiconductor International, 26 (12),			
1 1		56 (2003).				
	C23	Van Bavel et al., Future Fab In	ternational, 16, (2004).			
	C24		ng Meso Porosity Creation: A Potential Solution For			
1 1		Pore Sealing," IITC 2003.				
	C25		and Designs to Improve Transistor Performance", April			
		1, 2004, Semiconductor Interna				
	C26		lume Manufacturing Logic Technology Featuring			
\			ned Silicon CMOS Transistors", IEEE, © 2003.			
W	C27		le Silicon Nitride - P1264 NESL", C & F Study,			
W	l	August 21, 2003.				
Examiner		D	Date Considered			
	HU	MAROAT	3/4/16			

Form 1449 (Modified)	Atty Docket No. NOVLP089/ NVLS-2887	Application No.: 10/800,377
Information Disclosure Statement By Applicant	Applicant: Cho et al.	
Statement by Approxim	Filing Date	Group
(Use Several Sheets if Necessary)	March 11, 2004	2812

	•	Other Do	cuments				
Examiner							
Initial	No.						
	C28	Varadarajan, et al., "Strained T	ransistor Architecture and Method", Novellus				
-0		Systems, Inc., Appln No. 10/92	23,259, filed August 20,2004, pages 1-24. [Atty Docket				
2/		No. NOVLP108/NVLS-2933].					
	C29		wing The Cracking Resistance Of Low-K Dielectric				
]	i		o. 10/860,340, filed June 2, 2004, (Atty Dkt:				
		NOVLP099)					
	C30		oving The Cracking Resistance Of Low-K Dielectric				
			o. 10/860,340, Office Action dated March 2, 2005,				
		(Atty Dkt: NOVLP099)					
	C31		oving The Cracking Resistance Of Low-K Dielectric				
			to. 10/860,340, Final Office Action dated June 13,				
		2005, (Atty Dkt: NOVLP099)					
	C32		ating And Silanol Capping Of Porous Dielectric				
	1		0/785,235, filed February 23, 2004 (Atty Dkt:				
<u> </u>		NOVLP085)	alastria Eilma Haina HIV Comina? H.C. Amplication				
	C33		electric Films Using UV Curing", U.S. Application				
	C34	No. 10/972,084, filed October 22, 2004 (Atty Dkt: NOVLP122) Fox et al., "Method For Improving Mechanical Properties Of Low Dielectric					
	C34		lication No. 10/849,568, filed May 18, 2004 (Atty Dkt:				
	1	NOVLP083)	Meation 140. 10/047,500, med May 10, 2004 (Atty Dat.				
 	C35		icing Low-Stress Carbon-Doped Oxide Films With				
	033		es", U.S. Application No. 10/987,208, filed November				
		12, 2004 (Atty Dkt: NOVLP1					
	C36		abrication Processes For Introducing Pores Into				
			plication No. 11/050,621, filed January 31, 2005 (Atty				
1		Dkt: NOVLP100)					
	C37	Draeger et al., "Creation Of Po	rosity In Low-K Films By Photo-Disassociation Of				
 		Imbedded Nanoparticles," U.S	. Application No. 11/146,456, filed June 6, 2005 (Atty				
	į	Dkt: NOVLP100X1)					
•	C38	Wu et al., "Methods For Producing Low Stress Porous Low-K Dielectric Materials					
	-	Using Precursors With Organic Functional Groups", U.S. Application No.					
		10/927,777, filed August 27, 2					
W	C39		ving Integration Performance Of Low Stress CDO				
W		Films", U.S. Application No. 10/941,502, filed September 14, 2004 (Atty Dkt:					
NOVLP107)							
	Ш.,						
Examiner	u/	icmsa+	Date Considered				
	Į V	UKIUNT	517/06				

Form 1449 (Modified)	Atty Docket No.	Application No.:
	NOVLP089/ NVLS-2887	10/800,377
Information Disclosure	Applicant:	•
Statement By Applicant	Cho et al.	
	Filing Date	Group
(Use Several Sheets if Necessary)	March 11, 2004	2812

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
3/	Cho et al., "Methods of Improving Porogen Removal and Film Mechanical Strength in Producing Ultra Low-K Carbon Doped Oxide Films Using Radical Photopolymerization", U.S. Application No. 10/982,654, filed November 5, 2004 (Atty Dkt: NOVLP115)	
Examiner	17	Date Considered 374/06

Form 1449 (Modified) Atty Docket No. Application No.: NOVLP089/ NVLS-2887 10/800,377 Information Disclosure Statement By Applicant Cho et al. Filing Date Group (Use Several Sheets if Necessary) March 11, 2004 2812

U.S. Patent Documents

Examiner		Publication/				Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
20	Al	6,329,017	12/11/01	Liu et al.			10/04/99
	A2	6,383,466	5/7/02	Domansky et al.			12/28/98
	. A3	6,365,266	4/2/02	MacDougall et al.			03/03/00
	A4	5,504,042	4/2/96	Cho et al.			06/23/94
	A5	5,858,457	1/12/96	Brinker et al.			09/25/97
	A6	6,270,846	8/7/01	Brinker et al.			03/02/00
	A7	6,387,453	5/14/02	Brinker et al.			03/02/00
1	A8	6,420,441	10/10/99	Allen et al.			12/10/99
20	A9	6,271,273	10/10/00	You et al.			10/10/00
	A10	20040096672	05/20/04	Lukas et al.			11/14/02
	All	6.444.715	09/03/02	Mukherjee, et al.			-06/06/00 —

Other Documents

		Other Documents			
Examiner	NT-	Andrew Title Date Place (on Learnest) of Dublication			
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication			
W.	A12	Humayun et al., "Method For Forming Porous Films By Porogen Removal Combined With In Situ Surface Modification", U.S. Application No. 10/404,693, filed March 31, 2003			
	A13	Bandyopadhyay et al., "Method to Improve Mechanical Strength of Low-K Dielectric Film Using Modulated UV Exposure", U.S. Patent Application No. 10/825,888, filed April 16, 2004			
	A14	Wu et al., "Methods Of Porogen Removal For Porous Low Dielectric Constant Films Using Plasma Treatments", U.S. Patent Application No. 10/807,680, filed March 23, 2004			
W	A15	Tipton et al., "Method Of Porogen Removal From Porous Low-K Films Using Uv Radiation", U.S. Patent Application No. 10/672,311, filed September 26, 2003			
Examiner Hhanger		Nangar Date Considered 3/4/66			

Form 1449 (Modified)	Atty Docket No.	Application No.:
	NOVLP089/ NVLS-2887	10/800,377
Information Disclosure	Applicant:	
Statement By Applicant	Cho et al.	
	Filing Date	Group
(Use Several Sheets if Necessary)	March 11, 2004	2812

U.S. Patent Documents

			U.D. I atti	t Documents			
Examiner		Publication/				Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
w	B1	6,420,441	10/10/99	Allen et al.			12/10/99
7	B2	6,271,273	10/10/00	You et al.			10/10/00
	B3	4,885,262	12/5/89	Ting et al.			03/08/89
	B4	5,686,054	11/11/97	Barthel et al.			05/16/95
	B5	5,851,715	12/22/98	Barthel et al.			06/18/97
	B6	6,140,252	10/31/00	Cho et al.			05/05/98
	B7	6,392,017	5/21/02	Chandrashekar			08/04/00
	B8	6,386,466	5/14/02	Ozawa et al.			04/11/00
	B9	4,357,451	11/2/82	McDaniel			08/28/01
1	B10	6,479,374	11/12/02	Ioka et al.			09/27/00
	B11	6,548,113	4/15/03	Birnbaum et al.			11/09/00
	B12	20020034626	03/21/02	Liu, et al.			04/18/01
v	B13	20020001973	01/03/02	Wu, et al.			04/24/01

Other Documents

Examiner						
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication				
	B14	Cho et al., "Plasma Treatments of Molecularly Templated Nanoporous Silica				
1 hp		Films," Electrochemical and Solid-State Letters, 4 (4) G35-G38 (2001)				
	B15	Yung et al., "Spin-on Mesoporous Silica Films with Ultralow Dielectric				
2		Constants, Ordered Pore Structures, and Hydrophobic Surfaces," Adv. Mater.				
И		2001, 13, No. 14, 1099-1102				
Examiner	11/	Date Considered				
PW CANDAY 312/06						

Form 1449 (Modified)	Atty Docket No. NOVLP089/ NVLS-2887	Application No.: 10/800,377
Information Disclosure Statement By Applicant	Applicant: Cho et al.	
	Filing Date	Group
(Use Several Sheets if Necessary)	March 11, 2004	2812

7.9	C1	Schulberg et al., "System for Deposition of Mesoporous Materials," U.S. Patent Application No. 10/295,965, filed November 15, 2002, 64 Pages	
W		Watkins et al., "Mesoporous Materials and Methods," U.S. Patent Application No.10/301,013, filed November 21, 2002, 34 Pages	
20	C3	Gangpadhyay et al., "The First International Surface Cleaning Workshop," Northeastern University, November 11-14, 2002	
WP	C4	Justin F. Gaynor, "In-Situ Treatment of Low-K Films With a Silylating Agent After Exposure To Oxidizing Environments," U.S. Patent Application No.10/056,926 filed January 24, 2002, 34 Pages	
Examiner	K	Rynnar Date Considered 3/6/06	

OCT 31 1005
OCT 31

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date
	A1						
						ļ	
	-		<u> </u>	 			
						<u> </u>	
-							

Foreign Patent or Published Foreign Patent Application

Examiner		Document No.	Publication Date	Class	Sub- class	Translation	
Initial	No.					Yes	No
	B1						
					1		
		·					1
					†	-	

Other Documents

		Other Documents
Examiner		
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	Cl	Tipton et al., "Method For Removal Of Porogens From Porous Low-K Films Using
W		Supercritical Fluids", U.S. Patent No. 10/672,305, filed Sept 26, 2003, Office Action
<i>V y</i>	1	dated September 1, 2005 (Atty Dkt: NOVLP069).
	C2	Humayun et al., "Method For Forming Porous Films By Porogen Removal Combined
E.		With In Situ Modification", U.S. Patent No. 10/404,693, filed March 31, 2003, Office
W		Action dated August 24,2005 (Atty Dkt: NOVLP064)
	,	
	1	
	†	
Examiner	11	Date Considered
	- ' '	14104